

# ISO 9001:2015 Mandatory documented information

Clause		Required?	Clause		Required?
<b>4</b>	<b>Context of the organisation</b>		8.2.2	Determining the requirements for products and services	
4.1	Understanding the organisation and its context		8.2.3	Review of the requirements for products and services	
4.2	Understanding the needs and expectations of interested parties		8.2.3.1	-	
4.3	Determining the scope of the quality management system	✓	8.2.3.2	-	✓
4.4	Quality management system and its processes		8.2.4	Changes to requirements for products and services	
4.4.1	-		8.3	Design and development of products and services	
4.4.2	-	✓*	8.3.1	General	
<b>5</b>	<b>Leadership</b>		8.3.2	Design and development planning	✓
5.1	Leadership and commitment		8.3.3	Design and development inputs	✓
5.1.1	General		8.3.4	Design and development controls	✓
5.1.2	Customer focus		8.3.5	Design and development outputs	✓
5.2	Quality policy		8.3.6	Design and development changes	✓
5.2.1	Establishing the quality policy		8.4	Control of externally provided processes, products and services	
5.2.2	Communicating the quality policy	✓	8.4.1	General	✓
5.3	Organisational roles, responsibilities and authorities		8.4.2	Type and extent of control	
<b>6</b>	<b>Planning</b>		8.4.3	Information for external providers	
6.1	Actions to address risks and opportunities		8.5	Production and service provision	
6.1.1	-		8.5.1	Control of production and service provision	
6.1.2	-		8.5.2	Identification and traceability	✓
6.2	Quality objectives and planning to achieve them		8.5.3	Customer and external provider property	✓
6.2.1	-	✓	8.5.4	Preservation	
6.2.2	-		8.5.5	Post-delivery activities	
6.3	Planning of changes		8.5.6	Control of changes	✓
<b>7</b>	<b>Support</b>		8.6	Release of products and services	✓
7.1	Resources		8.7	Control of nonconforming outputs	
7.1.1	General		8.7.1	-	
7.1.2	People		8.7.2	-	✓
7.1.3	Infrastructure		<b>9</b>	<b>Performance evaluation</b>	
7.1.4	Environment for the operation of processes		9.1	Monitoring, measurement, analysis and evaluation	
7.1.5	Monitoring and measurement resources		9.1.1	General	✓
7.1.5.1	General	✓	9.1.2	Customer satisfaction	
7.1.5.2	Measurement traceability	✓	9.1.3	Analysis and evaluation	
7.1.6	Organisational knowledge		9.2	Internal audit	
7.2	Competence	✓	9.2.1	-	
7.3	Awareness		9.2.2	-	✓
7.4	Communication		9.3	Management review	
7.5	Documented information		9.3.1	General	
7.5.1	General		9.3.2	Management review inputs	
7.5.2	Creating and updating		9.3.3	Management review outputs	✓
7.5.3	Control of documented information		<b>10</b>	<b>Improvement</b>	
7.5.3.1	-		10.1	General	
7.5.3.2	-		10.2	Nonconformity and corrective action	
<b>8</b>	<b>Operation</b>		10.2.1	-	
8.1	Operational planning and control	✓*	10.2.2	-	✓
8.2	Requirements for products and services		10.3	Continual improvement	
8.2.1	Customer communication				

✓\* Maintain or retain documented information to the extent necessary

# ISO 14001:2015 Mandatory documented information

Clause		Required?
<b>4</b>	<b>Context of the organisation</b>	
4.1	Understanding the organisation and its context	
4.2	Understanding the needs and expectations of interested parties	
4.3	Determining the scope of the environmental management system	✓
4.4	Environmental management system	
<b>5</b>	<b>Leadership</b>	
5.1	Leadership and commitment	
5.2	Environmental policy	✓
5.3	Organisational roles, responsibilities and authorities	
<b>6</b>	<b>Planning</b>	
6.1	Actions to address risks and opportunities	
6.1.1	<i>General</i>	✓
6.1.2	<i>Environmental aspects</i>	✓
6.1.3	<i>Compliance obligations</i>	✓
6.1.4	<i>Planning action</i>	
6.2	Environmental objectives and planning to achieve them	
6.2.1	<i>Environmental objectives</i>	✓
6.2.2	<i>Planning actions to achieve environmental objectives</i>	
<b>7</b>	<b>Support</b>	
7.1	Resources	
7.2	Competence	✓
7.3	Awareness	
7.4	Communication	
7.4.1	<i>General</i>	✓
7.4.2	<i>Internal communication</i>	
7.4.3	<i>External communication</i>	
7.5	Documented information	
7.5.1	<i>General</i>	
7.5.2	<i>Creating and updating</i>	
7.5.3	<i>Control of documented information</i>	
<b>8</b>	<b>Operation</b>	
8.1	Operational planning and control	✓*
8.2	Emergency preparedness and response	✓*
<b>9</b>	<b>Performance evaluation</b>	
9.1	Monitoring, measurement, analysis and evaluation	
9.1.1	<i>General</i>	✓
9.1.2	<i>Evaluation of compliance</i>	✓
9.2	Internal audit	
9.2.1	<i>General</i>	
9.2.2	<i>Internal audit programme</i>	✓
9.3	Management review	✓
<b>10</b>	<b>Improvement</b>	
10.1	General	
10.2	Nonconformity and corrective action	✓
10.3	Continual improvement	

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# ISO 45001:2018 Mandatory documented information

Clause		Required?	Clause		Required?
<b>4</b>	<b>Context of the organisation</b>		7.4.1	General	✓
4.1	Understanding the organisation and its context		7.4.2	Internal communication	
4.2	Understanding the needs and expectations of workers and other interested parties		7.4.3	External communication	
4.3	Determining the scope of the OH&S management system	✓	7.5	Documented information	
4.4	OH&S management system		7.5.1	General	
<b>5</b>	<b>Leadership</b>		7.5.2	Creating and updating	
5.1	Leadership and commitment		7.5.3	Control of documented information	
5.2	OH&S policy	✓	<b>8</b>	<b>Operation</b>	
5.3	Organisational roles, responsibilities and authorities	✓	8.1	Operational planning and control	
5.4	Consultation and participation of workers		8.1.1	General	✓*
<b>6</b>	<b>Planning</b>		8.1.2	Eliminating hazards and reducing OH&S risks	
6.1	Actions to address risks and opportunities		8.1.3	Management of change	
6.1.1	General	✓	8.1.4	Procurement	
6.1.2	Hazard identification and assessment of risks and opportunities		8.2	Emergency preparedness and response	✓
6.1.2.1	Hazard identification		<b>9</b>	<b>Performance evaluation</b>	
6.1.2.2	Assessment of OH&S risks and other risks to the OH&S management system	✓	9.1	Monitoring, measurement, analysis and evaluation	
6.1.2.3	Assessment of OH&S opportunities and other opportunities to the OH&S management system		9.1.1	General	✓
6.1.3	Determination of legal requirements and other requirements	✓	9.1.2	Evaluation of compliance	✓
6.1.4	Planning action		9.2	Internal audit	
6.2	OH&S objectives and planning to achieve them		9.2.1	General	
6.2.1	OH&S objectives		9.2.2	Internal audit programme	✓
6.2.2	Planning actions to achieve OH&S objectives	✓	9.3	Management review	✓
<b>7</b>	<b>Support</b>		<b>10</b>	<b>Improvement</b>	
7.1	Resources		10.1	General	
7.2	Competence	✓	10.2	Incident, nonconformity and corrective action	✓
7.3	Awareness		10.3	Continual improvement	✓
7.4	Communication				

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# AS/NZS 4801:2001 Mandatory documentation

Clause		Required?
<b>4</b>	<b>Requirements</b>	
4.1	General requirements	
4.2	OHS policy	✓
4.3	Planning	
4.3.1	Planning of identification of hazards, hazard/risk assessment and control of hazards/risks	
4.3.2	Legal and other requirements	✓
4.3.3	Objectives and targets	✓
4.3.4	OHS management plans	
4.4	Implementation	
4.4.1	Structure and responsibility	
4.4.1.1	Resources	
4.4.1.2	Responsibility and accountability	✓
4.4.2	Training and competency	
4.4.3	Consultation, communication and reporting	
4.4.3.1	Consultation	✓
4.4.3.2	Communication	
4.4.3.3	Reporting	
4.4.4	Documentation	✓
4.4.5	Document and data control	
4.4.6	Hazard identification, hazard/risk assessment and control of risks/hazards	
4.4.6.1	General	✓
4.4.6.2	Hazard identification	✓
4.4.6.3	Hazard/risk assessment	✓
4.4.6.4	Control of hazards/risks	✓
4.4.6.5	Evaluation	✓
4.4.7	Emergency preparedness and response	✓
4.5	Checking	
4.5.1	Monitoring and measurement	
4.5.1.1	General	✓
4.5.1.2	Health surveillance	
4.5.2	Incident investigation, corrective and preventive action	
4.5.3	Records and records management	✓
4.5.4	OHSMS audit	✓
4.6	Management review	✓